

FORM PTO-1180
(REV. 10-96)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

2026-4230US1

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/155590

INTERNATIONAL APPLICATION NO.
PCT/US97/06470INTERNATIONAL FILING DATE
17 April 1997 (17.04.97)PRIORITY DATE CLAIMED
19 April 1996 (19.04.96)

TITLE OF INVENTION

MUTATED RAS PEPTIDES FOR GENERATION OF CD8⁺ CYTOTOXIC T LYMPHOCYTES

APPLICANT(S) FOR DO/EO/US

Jeffrey Schlom and Scott Abrams

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Copy of the International Preliminary Examination Report.

APPLICATION NO. (if known see 37 CFR 1.51)				INTERNATIONAL APPLICATION NO. PCT/US97/06470		ATTORNEY'S DOCKET NUMBER 2026-4230US1	
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : Search Report has been prepared by the EPO or JPO \$930.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$720.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$790.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1070.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 98.00 ENTER APPROPRIATE BASIC FEE AMOUNT =						CALCULATIONS PTO USE ONLY <div style="border: 1px solid black; padding: 5px; text-align: center; font-size: 1.2em;">930.00</div>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total claims	71 - 20 =	51	X \$22.00			\$1,122.00	
Independent claims	6 - 3 =	3	X \$82.00			\$ 246.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+\$270.00		\$ 270.00	
TOTAL OF ABOVE CALCULATIONS =						\$2,568.00	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).						\$	
SUBTOTAL =						\$2,568.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$	
TOTAL NATIONAL FEE =						\$2,568.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property						\$	
TOTAL FEES ENCLOSED =						\$2,568.00	
						Amount to be:	\$
						refunded	\$
						charged	\$

- a. ☒ A check in the amount of \$ 2,568.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 13-4500. A duplicate copy of this sheet is enclosed.
Order No. 2026-4230US1

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE.

William S. Feiler

NAME

26,728

REGISTRATION NUMBER

IN THE UNITED STATES

[] RECEIVING OFFICE (RO/US) 09/155590
 [X] DESIGNATED OFFICE (DO/US)
 [X] ELECTED OFFICE (EO/US)

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MUTATED <i>RAS</i> PEPTIDES FOR GENERATION OF CD8 ⁺ CYTOTOXIC T LYMPHOCYTES		
APPLICANT(S)		
Jeffrey Schlom, et al.		

Assistant Commissioner for Patents
 Box PCT
 Washington, D.C. 20231

Attention: DO/EO/US

VERIFIED CERTIFICATION OF EXPRESS MAILING DATE
 (INTERNATIONAL APPLICATION (37 CFR 1.10(c)))

I declare that on 30 September 1998 I deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number EE734084128US, addressed to the "Assistant Commissioner for Patents, Washington, D.C. 20231" and having an express mail certification which I executed, the following papers:

Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371, duly executed; Executed Combined Declaration and Power of Attorney; Copy of the International Preliminary Examination Report; Check for \$2,568.00 (filing fee) and Return Postcard.

A copy of these papers from the file of this application is attached.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Albert Isles

(Typed or printed name of person making this verified statement)

Date 30 September 1998

(Signature of person making this verified statement)

(Verified Certification of Express Mailing Date (International Application) [13-12])

30 SEP 1998